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Attorney Docket P2637-1

PATENT

EL 895 375 515 US: Expr ss Mail Numb r

December 13, 2001: Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Audrey Goddard, San Francisco, California
William I. Wood, Hillsborough, California
Weilan Ye, Foster City, California
Zemin Zhang, Foster City, California

Title: NOVEL SECRETED POLYPEPTIDE AND METHODS OF TREATMENT OF BONE DISORDERS

1. Type of Application

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. __, filed __, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☒ continuation application claiming priority to application Serial Numbers 60/132,379 filed May 4, 1999, PCT/US00/05601 filed March 1, 2000, PCT/US01/19692 filed June 20, 2001, and PCT/US01/21735 filed July 9, 2001, the entire disclosures of which are hereby incorporated by reference.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional)

79 pages of specification

3 pages of claims

1 page(s) of abstract

12 sheet(s) of drawings

☒ formal ☐ informal

JC960 U.S. PTO
12/13/01

J1073 U.S. PTO
10/020419
12/13/01

10020419 121301

3. Declaration or Oath

- (for new and CIP applications; also for Cont./Div. where inventor(s) are being added)*
☒ An executed declaration of the inventor(s) [X] is enclosed (unsigned) [] will follow.
- (for Cont./Div. where inventorship is the same or inventor(s) being deleted)*
☐ A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).
- (for Cont./Div. where inventor(s) being deleted)*
☐ A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

4. Assignment

- (for new and CIP applications)*
☒ An Assignment of the invention to GENENTECH, INC. [] is enclosed with attached Recordation Form Cover Sheet [X] will follow.
- (for cont./div.)*
☐ The prior application is assigned of record to Genentech, Inc.

5. Amendments (for continuation and divisional applications)

- ☐ Cancel in this application original claims __ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

TELETYPE UNIT

6. Fee Calculation (37 CFR 1.16)

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$740.00
Total Claims	27	- 20 =	7	X \$18.00	\$126.00
Independent Claims	17	- 3 =	14	X \$80.00	\$1,120.00
Multiple dependent claim(s), if any				+ \$270.00	\$0.00
Filing Fee Calculation					\$1,986.00

7. Method of Payment of Fees

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$1986.00\$. **A duplicate copy of this transmittal is enclosed.**

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this sheet is enclosed.**

9. Additional Papers Enclosed

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☐ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☒ Other: Petition to Accept Color Drawings, Color drawings in Triplicate and Post card.

10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)

[This item must be completed and the necessary papers filed in the prior application if the period set in the prior application has run]

_____ A petition, fee and/or response has been filed to extend the term in the pending prior application until

_____ A copy of the petition for extension of time in the **prior** application is attached.

T070216TH02001

11. **Correspondence Address:**

X Address all future communications to:

Attn: David A. Carpenter, Ph.D.

Respectfully submitted,
GENENTECH, INC.

Date: December 13, 2001

By: 

David A. Carpenter, Ph.D.
Reg. No. 45,945
Telephone No. (650) 225-3733



09157

PATENT TRADEMARK OFFICE

1002049-12101
"PATENT" 6740200F

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Audrey Goddard, et al. Serial No.: Filed herewith Filed: Filed herewith For: <i>NOVEL SECRETED POLYPEPTIDE AND METHODS OF TREATMENT OF BONE DISORDERS</i>	Group Art Unit: Unknown Examiner: Unknown Express Mail No. EL 895 375 515 US Mailed on: December 13, 2001
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J1073 U.S. PTO
10/020419
12/13/01

PETITION TO ACCEPT COLOR DRAWINGS
(37 CFR §1.84(a))

Box: Patent Application
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicant petitions the Commissioner of Patents and Trademarks to accept color drawings for Figs 5, 6, 7, 8, 9, 10, 11 and 12, for the above referenced application.

Applicant believes color drawings are necessary as the only practical medium by which to disclose the subject matter sought to be patented.

Please charge Deposit Account No. 07-0630 in the amount of \$130 to cover the cost of the petition. Any deficiency or overpayment should be charged or credited to this deposit account. A duplicate of this sheet is enclosed.

12/26/2001 SSANDARA 00000010 070630 10020419
01 FC:122 130.00 CH

Date: December 13, 2001

Respectfully submitted,
GENENTECH, INC.

By: 

David A. Carpenter, Ph.D.

Reg. No. 45,945

Telephone No. (650) 225-3733



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PATENT TRADEMARK OFFICE

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